

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Xuehai En et al.	Art Unit	: 2164
Serial No.	: 09/346,195	Examiner	: Richard C. Weisberger
Filed	: July 1, 1999	Conf. No.	: 2114
Title	: SELECTING INVESTMENTS FOR A PORTFOLIO		

MAIL STOP AF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Please consider the references listed on the enclosed PTO-1449 form. Foreign patent documents and non-patent literature are enclosed; cited U.S. patents and patent application publications will be provided on request.

This statement is being filed after a final Office action or a Notice of Allowance, but before payment of the issue fee. Each item of information in this statement (i) was first cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated November 4, 2009, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement. Apply the \$180 payment of the submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 08575-0046001.

CERTIFICATE OF MAILING BY EFS-WEB FILING

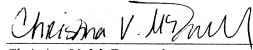
I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: December 30, 2009

Applicant : Xuehai En et al.
Serial No. : 09/346,195
Filed : July 1, 1999
Page : 2 of 2

Attorney's Docket No.: 08575-0046001

Respectfully submitted,

Date: 12/30/2009



Christina V. McDonough
Reg. No. 64,612

Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110
Telephone: (617) 542-5070
Facsimile: (877) 769-7945